

Electronic Acknowledgement Receipt

EFS ID:	6912668
Application Number:	10627646
International Application Number:	
Confirmation Number:	3617
Title of Invention:	METHOD AND APPARATUS FOR ENABLING INDIVIDUAL OR SMALLER INVESTORS OR OTHERS TO CREATE AND MANAGE A PORTFOLIO OF SECURITIES OR OTHER ASSETS OR LIABILITIES ON A COST EFFECTIVE BASIS
First Named Inventor/Applicant Name:	Steven M.H. Wallman
Correspondence Address:	Bradley J. Meier KENYON & KENYON Suite 700 1500 K Street, N.W. Washington DC 20005 US 2022204200 -
Filer:	Martin Edward Miller/Matthew Kuspa
Filer Authorized By:	Martin Edward Miller
Attorney Docket Number:	10392-460042
Receipt Date:	29-JAN-2010
Filing Date:	28-JUL-2003
Time Stamp:	16:19:43
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1825

RAM confirmation Number	3032
Deposit Account	110600
Authorized User	KENYON & KENYON LLP

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	10392-460042_IssueFee.pdf	106014	no	1
			30611d08c78665ac32db0612-40544f9fa01c22		

Warnings:

Information:

2	Fee Worksheet (PTO-875)	fee-info.pdf	34142	no	2
			d0b2d0f61f905c11867461dca6a6b199b3907		

Warnings:

Information:

Total Files Size (in bytes): 140156

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.